**FINN 3120-003**  
**FINANCIAL MANAGEMENT**  
**Fall 2018**

Class: Tuesday & Thursday 8:30am–9:45am in Friday 142

Instructor: Prof. Shuangshuang Ji

Office Hours: Tuesday & Thursday 10:00am–11:30am, Office Friday 210C

Email: sji2@uncc.edu

**Prerequisites**

To enroll in the course students must have (1) declared a major in the College of Business with (2) at least a junior standing and (3) have completed the following prerequisite courses: MATH 1120, STAT 1220, ECON 2101, ECON 2102, ACCT 2121, ACCT 2122 and INFO 2130 (or successful completion of the Microcomputer Proficiency Test). Access to and familiarity with web browsers, spreadsheet and word-processing technique.

**Course Overview and Objectives**

The objective of this course is to familiarize you with the process of planning for, acquiring, and allocating funds by a business enterprise. This process involves several decision-making tools, including but not limited to the time value of money, financial statement analysis and financial forecasting, working capital management, pricing various types of risk, asset and project valuation, and determination of the firm’s optimal capital structure. In this semester, we will illustrate how each of the tools help financial managers fulfill their jobs.

Students pursuing a concentration in finance should view this course as a foundation for future studies and work in corporate finance, financial markets, or financial institutions. Students who do not pursue a career in a finance-related area will gain a basic understanding of the nature and methods of financial analysis within the context of the business enterprise.

**Course Materials**

  Authors: Arthur J. Keown, John D. Martin and J. William Petty  
  Publisher: Pearson  
  ISBN-10: 0134083288  
  All problem sets will be given based on the Ninth Edition. I will post the scanned copy of the questions on Canvas at [http://canvas.uncc.edu](http://canvas.uncc.edu) in due course.

- Much of the course involves quantitative analysis. Please bring a calculator with financial functions to every class. **Texas Instruments BA II Plus** will be the calculator model used in class.

- **Optional: MyFinanceLab**, an integrated online homework management system that provides hands-on practice and guided tutorial instruction for each of the chapters in your text.

- Additional materials including lecture notes, assignments and solutions, projects announcements and grades will be made available for review and download on Canvas,
accessible at http://canvas.uncc.edu for all registered students.

**Grading**

Your final grade will be based on two midterm exams, a final exam, a term project and homework assignments according to the following weights:

<table>
<thead>
<tr>
<th>Item</th>
<th>Credits</th>
<th>Grading Scale</th>
</tr>
</thead>
<tbody>
<tr>
<td>Test 1</td>
<td>30</td>
<td>A ≥ 90</td>
</tr>
<tr>
<td>Test 2</td>
<td>30</td>
<td>B [75, 90)</td>
</tr>
<tr>
<td>Term project</td>
<td>10</td>
<td>C [60, 75)</td>
</tr>
<tr>
<td>Final exam</td>
<td>30</td>
<td>D [50, 60)</td>
</tr>
<tr>
<td>Bonus Quiz</td>
<td>6</td>
<td>F &lt;50</td>
</tr>
<tr>
<td><strong>Total</strong></td>
<td>106</td>
<td></td>
</tr>
</tbody>
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- **Exam Policy:** The Test 1 and 2 will be given during regular class meeting time and each accounts for 30 credits. The Final exam accounts for 30 credits. **All three tests are not cumulative.** The grade of Test 1 and 2 (Final exam) will be 0 to 30 (100) points (The test may include bonus questions. In that case, the highest grade can be above 30 (100) points). Please refer to the attached course timetable on the last page and be well prepared. All exams will be closed book and closed notes, one page of cheat sheet is allowed (can be two-sided). **Always bring a financial calculator!**

- **Attendance:** students are expected to attend all classes and to actively participate in class discussions.

- **Homework assignments:** There will be five problem sets covers from Chapter 1 to Chapter 10. We have one bonus homework for Chapter 16 (2 credits). Further details on the assignments are forthcoming. The solution will be posted on Canvas along with the homework. **No need to submit the homework but you should practice and study solutions. Problem sets will serve as great exercises and references for exams.**

- **Term project:** One term project in a group of 2-6 people accounting for 10 credits (individual work is acceptable). The due date is October 16. **No late project will be accepted.** Details and rubric will be given in due course.

- **Bonus quiz:** We would have three bonus quizzes over the semester and each quiz accounts for 2 credits. Refer to the table on the last page for possible dates. Further details on the quiz are forthcoming.

**Make-up Policies for Exams**

Make-ups for exams (two midterms and final) may be requested for valid excuses only. All excuses must have verifiable documentation. Foreseeable excuses (e.g. athletes on traveling squads) must be documented in advance. Requests must be received and documented at least one week in advance of the exam. The only acceptable unforeseen excuses for exams are death or serious illness in the family or a student illness. These also must be documented (e.g., by a doctor’s letter). Students who
fail to make-up a missed examination, or fail to attend the scheduled final exam, will receive a score of zero for all missed work.

**Important Dates**

- August 21: First day of class
- August 27: Last day to add/drop no grade
- September 18: Test 1
- October 22: Last day to withdraw from course(s)
- October 16: Term project due
- October 30: Test 2
- December 11: Final exam

**ACADEMIC INTEGRITY**

All UNCC students have the responsibility to be familiar with and to observe the requirements of The UNCC Code of Student Academic Integrity (see the Catalog). This code forbids cheating, fabrication or falsification of information, multiple submission of academic work, plagiarism, abuse of academic materials (such as Library books on reserve), and complicity in academic dishonesty (helping others to violate the code). Any further specific requirements or permission regarding academic integrity in this course will be stated by the instructor, and are also binding on the students in this course. Students who violate the code can be punished to the extent of being permanently expelled from UNCC and having this fact recorded on their official transcripts. The normal penalty is zero credit on the work involving dishonesty and further substantial reduction of the course grade. In almost all cases, the course grade is reduced to F. If you do not have a copy of the code, you can obtain one from the Dean of Students Office. Standards of academic integrity will be enforced in this course. Students are expected to report cases of academic dishonesty they become aware of to the course instructor who is responsible for dealing with them.

**COURSE WITHDRAWAL**

Under the UNCC Course Withdrawal Policy, students may withdraw from a course until the withdrawal deadline and the mark of W will be assigned. No withdrawals will be permitted after the course withdrawal deadline. It is the student's responsibility to determine by the withdrawal deadline whether to withdraw from the course or stay in for a grade.

**Disability**

If you have a disability that affects your ability to do the work in this course, please contact the Office of Disability Services to obtain a Letter of Accommodation in the first week of the semester and provide it to the instructor. The office is 230 Fretwell and the phone number is 704-687-4355.

**DIVERSITY**

The Belk College of Business strives to create an inclusive academic climate in which the dignity of all individuals is respected and maintained. Therefore, we celebrate diversity that includes, but is not limited to, ability/disability, age, culture, ethnicity, gender, language, race, religion, sexual orientation, and socio-economic status.
The schedule is highly tentative and subject to changes. Topics may be added/omitted depending on the pace of the class.

### Tentative Course timetable

<table>
<thead>
<tr>
<th>Session</th>
<th>Date</th>
<th>Topics</th>
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</table>
| 1       | 8/21/2018 - 9/18/2018 | Chapter 1, 2, 3, 4  
                     |                                  | Quiz 1 on 9/11 or 9/13  
                     |                                  | Test 1 on 9/18 |
| 2       | 9/20/2018 – 10/30/2018 | Chapter 5, 6, 7, 8  
                     |                                  | Term project due on 10/16 by email  
                     |                                  | Quiz 2 on 10/23 or 10/25  
                     |                                  | Test 2 on 10/30 |
| 3       | 11/1/2018 - 12/4/2018 | Chapter 8, 9, 10, 16  
                     |                                  | Quiz 3 on 11.29 or 12/4 |
| 4       | 12/11/2018          | Final exam: 8am – 10:30am |
